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NEWS

Illinois Department of Insurance

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Illinois Department of Insurance Disciplinary Report for February 2015

SPRINGFIELD – April 15, 2015. The Illinois Department of Insurance today announced the following disciplinary actions:

Andre L. Adkinson, Chicago – Illinois insurance producer license denied effective January 1, 2015. Mr. Adkinson's application for a license was denied after an investigation, which revealed that in 2007 he was convicted of a felony (Possession of a Controlled Substance).

Aziz Ali, Wheeling -- Insurance producer license revoked effective January 26, 2015 pursuant to a Director's Order from Hearing No. 14-HR-0349. Mr. Ali had been licensed to sell life insurance since 2008. Mr. Ali's license was revoked after an investigation revealed he allegedly fraudulently represented himself as a consumer to an insurer's underwriting personnel to confirm information provided on life insurance applications. The Order also assessed a civil penalty of \$3,000.

Towana Barron, Burnham – Insurance producer license revoked effective January 2, 2015. Ms. Barron had been licensed to sell life, health, fire and casualty insurance since 2011. Ms. Barron's license was revoked after an investigation revealed she allegedly failed to remit insurance premiums collected from consumers. The Order also assessed a civil penalty of \$2,000.

Ronald W. Barton, Plainfield – Stipulation and Consent Order issued effective February 26, 2015. Mr. Barton has been a licensed to sell life, health and variable insurance since 1985. The Order includes allegations that Mr. Barton submitted an insurance application to an insurer containing a non-genuine signature. The Order also assessed a civil penalty of \$2000.

Susan L. Baumgartner, Pearl City – Insurance producer license revoked effective February 24, 2015, pursuant to a Director's Order from Hearing No. 14-HR-0516. Ms. Baumgartner had been licensed to sell life, health, fire and casualty insurance since 2000. Ms. Baumgartner's license was revoked after an investigation revealed allegations that she improperly withheld collected premiums due an insurer or other licensee and altered a check in order to cover up the mishandling of an account. The Order included a civil penalty of \$3,500 due within 30 days and hearing costs of \$215.00 due within 35 days.

James A. Calmese, Jacksonville – Illinois insurance producer license denied effective February 23, 2015. Mr. Calmese's application for a license was denied after an investigation which revealed that in 2011 he was convicted of a felony (Driving While License Suspended).

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Sinuhe Chacon, Kankakee – Insurance producer license revoked effective February 8, 2015.

Mr. Chacon had been licensed to sell life and casualty insurance since 2008. Mr. Chacon's license was revoked after an investigation revealed he allegedly provided a Social Security Number (SSN) that was not his own and also provided the fraudulent SSN on an application for an appointment with a registered entity. The Order of Revocation also assessed a civil penalty of \$3,000.

Brittany Davis, Aurora – Insurance producer license revoked effective February 3, 2015.

Ms. Davis had been licensed to sell life and health insurance since 2013. Ms. Davis' license was revoked after an investigation revealed she was convicted of a felony (Criminal Sexual Abuse) in 2014.

Leslie R. Ellis, Matteson – Insurance producer license revoked effective January 16, 2015.

Mr. Ellis had been licensed to sell life, health, fire and casualty insurance since 2005. Mr. Ellis' license was revoked after an investigation revealed he allegedly failed to remit insurance premiums collected from consumers. The Order also assessed a civil penalty of \$2,000.

Florencia Gallegos, Chicago – Illinois Navigator Certified Application Counselor certificate denied effective February 11, 2015. Ms. Gallegos' application for a license was denied after an investigation which revealed that in 2004, she was convicted of a felony (Forgery).

Solomon S. Gross, Lake Villa – Insurance producer license revoked effective January 27, 2015 pursuant to Director's Order No. 14-HR-1279 after an investigation which revealed that in 1995 he was convicted of five (5) felony counts (Wire Fraud and Bank Fraud), had his non-resident producer's license permanently barred in Florida and provided incorrect information on his Illinois producer license renewal application. Mr. Gross had been licensed to sell life insurance since 2011. The Order of Revocation also assessed a civil penalty of \$6,000.

David Hajduch, Plainfield – Insurance producer license revoked and prohibited from reinstating his license effective January 21, 2015, pursuant to a Director's Order from Hearing No. 14-HR-0352. Mr. Hajduch had been licensed to sell life and health insurance since 2009. Mr. Hajduch's license was revoked after an investigation revealed that he allegedly submitted fraudulent insurance applications and related documents non-genuine signatures to insurers, submitted insurance application documents without the consumer's signature; fraudulently signed as the Collector/Agent on an Oral Fluid Authorization form for an insured, and authorized electronic withdrawal payments from a consumer's checking account without the insured's consent. The Order included a civil penalty of \$4,000 and hearing costs of \$602.00 due within 35 days.

Chase Carmen Hunter, Fredericksburg, VA – Insurance producer license revoked effective February 25, 2015, pursuant to a Director's Order from Hearing No. 14-HR-1127. Ms. Hunter had been licensed to sell life, health, fire and casualty insurance since 2003. Ms. Hunter's license was revoked after an investigation revealed she allegedly violated an insurance law in another state and had her producer license revoked in another state. The Order of Revocation assessed a civil penalty of \$2,500 and hearing costs of \$191.00.

Ibler, Inc., Peoria – Stipulation and Consent Order issued effective February 10, 2015.

The Education Provider has been certified to offer courses to insurance producers since 1985. The Order includes allegations that Ibler, Inc. awarded three (3) ethics classroom credit hours to an insurance producer when course subject matter was discussed briefly. Ibler, Inc. is disqualified as a certified education provider and shall not be allowed to re-apply for certification in Illinois.

Dennis E. Kagel, Bloomington-- Stipulation and Consent Order issued effective January 7, 2015. Mr. Kagel has been licensed since 2004 to sell life, health and variable insurance. The Order includes allegations that Mr. Kagel failed to identify the insurer on an advertisement and made a misleading first year yield statement in an advertisement. The Order also included a \$10,000.00 civil penalty.

Robert A. Kay, Northbrook -- Stipulation and Consent Order issued effective November 26, 2014. Mr. Kay has been a licensed public adjuster since 2007. The Order includes allegations that Mr. Kay failed to disclose a 2011 misdemeanor conviction (Electronic Harassment) on an application for a Public Adjuster license. The Order also assessed a civil penalty of \$2500.

David A. Keenley, Lincolnwood – Stipulation and Consent Order issued effective February 13, 2015. Mr. Keenley has been a licensed to sell life, health, fire and casualty insurance since 2010. The Order includes allegations that Mr. Keenley misrepresented the terms of an application for insurance. The Order also assessed a civil penalty of \$1000.

Robert J. Kelliher, Park Ridge – Illinois insurance producer license denied effective January 1, 2015. Mr. Kelliher's application for a license was denied after an investigation which revealed that in 2004 he was convicted of five (5) counts of Income Tax Evasion and two (2) counts of Making a False Claim to the Internal Revenue Service in Northern District of Illinois.

Bryan Kos, Buffalo Grove – Illinois insurance producer license denied effective February 25, 2015, pursuant to Director's Order 14-HR-1221. Mr. Kos' application for a license was denied after an investigation which revealed that in 2009 he was convicted of felonies (Conspiracy to Defraud the United States) and (Securities Fraud). The Order, which sustained a previously issued letter of denial, also required that he pay \$325.00 in hearing costs.

Dennis W. McCabe, Cary - Insurance producer license revoked effective December 14, 2014. Mr. McCabe had been licensed to sell life, health, fire and casualty insurance since 1985. Mr. McCabe's license was revoked after an investigation revealed he allegedly collected client funds, deposited them into his personal account and did not remit them to the insurer in a timely manner. Mr. McCabe was also transacting business under the assumed name of McCabe & Associates. The Order also assessed a civil penalty of \$10,000.

Gregory J. Pickett, Chicago - Stipulation and Consent Order issued effective November 20, 2014. Mr. Pickett has been licensed to sell life, health, fire and casualty insurance since 1998. The Order includes allegations that Mr. Pickett failed remit premiums in the amount of \$1665.35 to an insurer. The Order also assessed a civil penalty of \$2000.

Marcy Pinnell, Edwardsville – Stipulation and Consent Order issued effective February 24, 2015. Ms. Pinnell has been a licensed to sell life, health and variable insurance since 2001. The Order includes allegations that Ms. Pinnell obtained confidential policyholder information under false pretenses. The Order also assessed a civil penalty of \$7,500.

Andrzej Pozniak and Midwest Public Adjuster Group, LLC, Chicago – Stipulation and Consent Order issued effective January 27, 2015. Mr. Pozniak has been a licensed public adjuster since 2012. Midwest Public Adjuster Group, LLC has been a licensed public adjuster business entity since 2013. The Order includes allegations that Mr. Pozniak and Midwest Public Adjuster Group, LLC used a public adjuster contract without prior approval from the Director. The Order also assessed a civil penalty of \$2500.

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Elizabeth Quiroz, Elgin – Insurance producer license revoked effective February 8, 2015.

Ms. Quiroz had been licensed to sell life, health, fire and casualty insurance since 2009. Ms. Quiroz's license was revoked after an investigation revealed she failed to facilitate and aid the Director in an investigation regarding her termination from an insurer. The Order of Revocation also assessed a civil penalty of \$5,000.

David I. Robertson, Idialantic, FL – Stipulation and Consent Order issued effective January 30, 2015.

Mr. Robertson has been licensed to sell life, health, fire and casualty insurance since 1990. The Order includes allegations that Mr. Robertson failed reveal a Voluntary Revocation of his producer's license in Florida in 2002 on six (6) Illinois producer applications. The Order assessed a civil penalty of \$3000 and indicates Mr. Robertson is never allowed to apply for a resident or non-resident producer license in Illinois.

Mark Roller, Oak Brook – Illinois insurance producer license denied effective January 12, 2015.

Mr. Roller's application for a license was denied after an investigation which revealed that in 2006 he was convicted of a felony (Exploit Child <13/Expose Self).

Samara Suarez, Kankakee – Insurance producer license revoked effective February 8, 2015.

Ms. Suarez had been licensed to sell life, fire and casualty insurance since 2008. Ms. Suarez's license was revoked after an investigation revealed she allegedly used a Social Security Number (SSN) that was not her SSN and used the fraudulent SSN on an application for appointment with a registered entity. The Order of Revocation also assessed a civil penalty of \$3,000.

Justin Seyl, Libertyville – Illinois insurance limited lines license denied effective January 28, 2015.

Mr. Seyl's application for a license was denied pursuant to a Director's Order from Hearing No. 14-HR-0964 after an investigation which revealed that in 2010 he had an insurance producer license denied and revoked in Tennessee. The Order, which sustained a previously issued letter of denial, also required that he pay \$313.50 in hearing costs.

Ronald J. Stoller, Deerfield -- Stipulation and Consent Order issued effective January 27, 2015.

Mr. Stoller has been licensed to sell life and health insurance since 2002. The Order includes allegations that Mr. Stoller submitted insurance documents with non-genuine signatures of consumers. The Order also assessed a civil penalty of \$3,000.

Debra Townsend, Belleville – Illinois insurance producer license denied effective January 26, 2015.

Ms. Townsend's application for a license was denied based on an Order of Revocation of her license issued in Missouri in 2012.

Mohammad M. Zegar, Frankfort -- Stipulation and Consent Order issued effective December 17, 2014.

Mr. Zegar has been licensed to sell life and health insurance since 2007. The Order includes allegations that Mr. Zegar failed to sign his name and signature on two annuity applications as the soliciting and writing producer. The Order also assessed a civil penalty of \$1,000.

More Information

The Department's mission is to protect consumers by providing assistance and information, by efficiently regulating the insurance industry's market behavior and financial solvency, and by fostering a competitive insurance marketplace. The Illinois Department of Insurance assists consumers with all insurance complaints, including health, auto, life, and homeowner. Consumers in need of information or assistance should visit the Departments website at insurance.illinois.gov or call our toll-free hotline at (866) 445-5364.

For individual disciplinary orders, please visit

<http://insurance.illinois.gov/APPS/ElectronicFiling/DOIdocuments/SearchForDocuments.aspx>.